



1615
ATTORNEY'S DOCKET NUMBER: 0492611-0417 (MIT 8966)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

APR 23 2003

TECH CENTER 1300/2300

Applicant: Kohane *et al.*

Examiner: Di Nola Baron, L.

Serial No.: 09/981,020

Art Unit: 1615

Filed: October 16, 2001

For: LIPID-PROTEIN-SUGAR PARTICLES FOR DRUG DELIVERY

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

TRANSMITTAL LETTER

Enclosed are the following documents:

1. Written Assertion of Small Entity Status (2 docs., 2 pages);
2. Appointment of Attorney (2 docs., 2 pages);
3. Establishing Right of Assignee to Take Action (2 docs., 4 pages); and
4. Return Postcard.

If any additional fees are required to be paid or if any overpayment has been made, please charge or credit same to Deposit Account No. 03-1721.

Respectfully Submitted,

C. Hunter Baker, M.D., Ph.D.
Registration No. 46,533

CHOATE, HALL & STEWART
Exchange Place
53 State Street
Boston, MA 02109
(617) 248-5000

Dated: April 17, 2003

Certificate of Mailing

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231.

April 17, 2003
Date

Linda M. Amato
Signature

Linda M. Amato

Typed or Printed Name of person signing certificate



#11
429-03
RECEIVED

APR 23 2003

TECH CENTER 1300/2000

ATTORNEY'S DOCKET NUMBER: 0492611-0417 (MIT 8966)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kohane *et al.*
Serial Number: 09/981,020
Filed: October 16, 2001
For: LIPID-PROTEIN-SUGAR PARTICLES FOR DRUG DELIVERY

Assistant Commissioner For Patents
Washington, DC 20231

Sir:

WRITTEN ASSERTION OF SMALL ENTITY STATUS
UNDER 37 CFR §1.27(a)(3)
NON-PROFIT ORGANIZATION

The undersigned hereby asserts entitlement to small entity status as a non-profit organization under 37 CFR §1.27(a)(3) on behalf of Massachusetts Institute of Technology.

This assertion is made on the ground that Massachusetts Institute of Technology is either:

- (a) a university or other institution of higher education located in any country;
- (b) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1986 (26 USC §501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 USC §501(a));
- (c) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 USC §201(i)); or
- (d) any nonprofit organization, located in a foreign country, that would qualify as a nonprofit organization under paragraphs (b) or (c) above if it were located in this country, and *has not* assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the above-referenced patent application to any party who does not qualify for small entity status either as a person, a small business concern, or a nonprofit organization under 37 CFR §1.27..

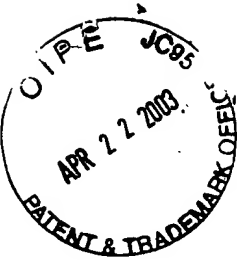
Respectfully Submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231
on April 17, 2003

Lucy M. Amato

3349985_1.DOC

Rita M. Filipowicz
Name: Rita M. Filipowicz
Title: Patent Administrator
Date: 1/16/02



#10
40
4/29/03

RECEIVED
APR 23 2003
TECH CENTER 1600

ATTORNEY'S DOCKET NUMBER: 0492611-0417 (MIT 8966)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kohane *et al.*
Serial Number: 09/981,020
Filed: October 16, 2001
Title: LIPID-PROTEIN-SUGAR PARTICLES FOR DRUG DELIVERY

Assistant Commissioner for Patents
Washington, D.C. 20231

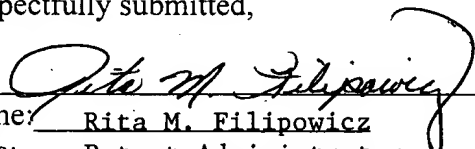
Sir:

APPOINTMENT OF ATTORNEY

The undersigned hereby appoints Sam Pasternack, Registration No. 29,576;
Brenda Herschbach Jarrell, Registration No. 39,223; Kevin M. Tormey, Registration No. 41,351;
Karoline Shair, Registration No. 44,332; Elizabeth Nugent, Registration No. 43,839, Valarie B.
Rosen, Registration No. 45,698; Anne-Marie Dinius, Registration No. 45,592; C. Hunter Baker,
Registration No. 46,533; Monica R. Gerber, Registration No. 46,724; Elijah Cocks, Registration
No. 47,499; John A. Hamilton, III, Registration No. 48,946, and Howard H. Bayless,
Registration No. P-51,245 as its attorneys and agents for prosecution of matters relating to the
above-identified patent application and to conduct all business in the United States Patent and
Trademark Office.

All correspondence should be sent to C. Hunter Baker, M.D., Ph.D., Choate, Hall &
Stewart, Exchange Place, 53 State Street, Boston, Massachusetts 02109.

Respectfully submitted,

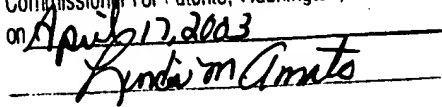

Name: Rita M. Filipowicz

Title: Patent Administrator

On behalf of Massachusetts Institute of Technology

Dated: 4/16/02

3323016_1.DOC

I hereby certify that this correspondence is being
deposited with the United States Postal Service as first
class mail in an envelope addressed to: Assistant
Commissioner For Patents, Washington, D.C. 20231
on April 17, 2003




ATTORNEY'S DOCKET NUMBER: 0492611-0417 (MIT 8966)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

APR 23 2003

TECH CENTER 1000

Applicant: Kohane *et al.*
Serial Number: 09/981,020
Filed: October 16, 2001
For: LIPID-PROTEIN-SUGAR PARTICLES FOR DRUG DELIVERY

Assistant Commissioner For Patents
Washington, DC 20231

Sir:

ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION
(37 CFR 3.73(b))

The assignee of the entire right, title and interest of inventors to The General Hospital Corporation, hereby seeks to take action in the Patent and Trademark Office in the above matter.

Ownership by the assignee is established as follows:

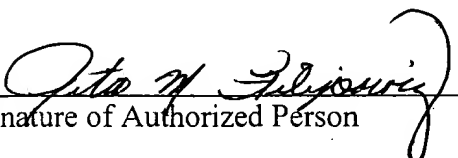
_____ documents already recorded in the PTO on _____.

Reel _____ Frame _____; and

X documents separately submitted for recordal to the PTO (a copy of these documents is attached).

STATEMENT

I, a person authorized to sign on behalf of the assignee, have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is mine/ours as assignees who seek to take further action.



Signature of Authorized Person

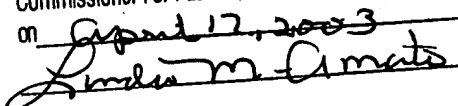
Rita M. Filipowicz

Name of Authorized Person

Patent Administrator

Title of Authorized Person

3323019_1.DOC

I hereby certify that this correspondence is being
deposited with the United States Postal Service as first
class mail in an envelope addressed to: Assistant
Commissioner For Patents, Washington, D.C. 20231
on April 17, 2003


RECEIVED

APR 23 2003

TECH CENTER 1000



ATTORNEY'S DOCKET NUMBER: 0492611-0417 (MIT 8966)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEApplicant:
Serial Number:
Filed:
For:Kohane *et al.*
09/981,020
October 16, 2001
LIPID-PROTEIN-SUGAR PARTICLES FOR DRUG DELIVERYASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

Sir:

ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION
(37 CFR 3.73(b))

The assignee of the entire right, title and interest of inventors to The General Hospital Corporation, hereby seeks to take action in the Patent and Trademark Office in the above matter.

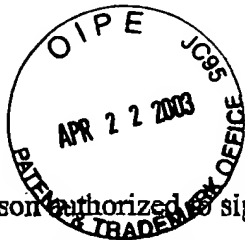
Ownership by the assignee is established as follows:

_____ documents already recorded in the PTO on _____.

Reel _____ Frame _____; and

☒ documents separately submitted for recordal to the PTO (a copy of these documents is attached).

3405481_1.DOC

**STATEMENT**

I, a person authorized to sign on behalf of the assignee, have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is mine/ours as assignees who seek to take further action.

F. Tontuzzo
Signature of Authorized Person

FRANCIS TONTUZZO, Ph.D.
CORPORATE SPONSORED RESEARCH AND LICENSING
DIRECTOR

Title of Authorized Person

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231
on April 17, 2003
Lydia M. Anato

3405481_1.DOC



RECEIVED

APR 23 2003

ENTER 1603/2900

ATTORNEY'S DOCKET NUMBER: 0492611-0417 (MIT 8966)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kohane *et al.*
 Serial Number: 09/981,020
 Filed: October 16, 2001
 For: LIPID-PROTEIN-SUGAR PARTICLES FOR DRUG DELIVERY

Assistant Commissioner for Patents
 Washington, DC 20231

Sir:

WRITTEN ASSERTION OF SMALL ENTITY STATUS**UNDER 37 CFR §1.27(a)(3)****NON-PROFIT ORGANIZATION**

The undersigned hereby asserts entitlement to small entity status as a non-profit organization under 37 CFR §1.27(a)(3) on behalf of The General Hospital Corporation.

This assertion is made on the ground that The General Hospital Corporation is either:

- (a) a university or other institution of higher education located in any country;
- (b) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1986 (26 USC §501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 USC §501(a));
- (c) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 USC §201(l)); or
- (d) any nonprofit organization, located in a foreign country, that would qualify as a nonprofit organization under paragraphs (b) or (c) above if it were located in this country,

and *has not* assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the above-referenced patent application to any party who does not qualify for small entity status either as a person, a small business concern, or a nonprofit organization under 37 CFR §1.27.

Respectfully Submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231
 on April 17, 2003
Indira Arora

3405482_1.DOC

FRANCIS TORREZ
 Name: CORPORATE SPONSORED RESEARCH AND LICENSING
 Title: Director
 Date: 7-15-02



ATTORNEY'S DOCKET NUMBER: 0492611-0417 (MIT 8966)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICERECEIVED
APR 23 2003
TECH CENTER 1600

Applicant: Kohane *et al.*
Serial Number: 09/981,020
Filed: October 16, 2001
For: LIPID-PROTEIN-SUGAR PARTICLES FOR DRUG DELIVERY

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231


Sir:

APPOINTMENT OF ATTORNEY

The undersigned hereby appoints Sam Pasternack, Registration No. 29,576; Brenda Herschbach Jarrell, Registration No. 39,223; Kevin M. Tormey, Registration No. 41,351; Karoline Shair, Registration No. 44,332; Elizabeth Nugent, Registration No. 43,839; Valarie B. Rosen, Registration No. 45,698; C. Hunter Baker, Registration No. 46,533; Monica R. Gerber, Registration No. 46,724; Elijah Cocks, Registration No. 47,499; and John A. Hamilton, III, Registration No. 48,946, as its attorneys and agents for prosecution of matters relating to the above-identified patent application and to conduct all business in the United States Patent and Trademark Office.

All correspondence should be sent to C. Hunter Baker, M.D., Ph.D., Choate, Hall & Stewart, Exchange Place, 53 State Street, Boston, Massachusetts 02109.

Respectfully submitted,


Name: FRANCES TONEGUZZO, Ph.D.
Title: CORPORATE SPONSORED RESEARCH AND LICENSING
On behalf of The General Hospital Corp

Dated: 7-15-02

3405483_1.DOC

3405483_1.DOC

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner For Patents, Washington, D.C. 20231 on April 17, 2003
